



# Financial Advisor 100

## Zacks Investment Management Named a Top-100 Financial Advisory by CNBC

*The Annual CNBC FA 100 Ranking Has Recognized Zacks as  
an Industry Leader Through an Objective, Multi-Point Analysis*

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**CHICAGO, ILLINOIS** — Zacks Investment Management has been named to the CNBC FA 100 list, an annual ranking that recognizes advisory firms for their ability to help clients navigate their financial lives. The 2024 list is free from compensation or sponsorship and is designed to help investors identify quality firms from which to seek financial advice.

In collaboration with data provider AccuPoint Solutions, CNBC has defined a selection methodology that goes well beyond assets under management. With an initial list of over 40,000 registered investment advisers from the Securities and Exchange Commission regulatory database, AccuPoint applied CNBC's proprietary weighted categories in order to reduce the pool down to the top 100. The result is a ranked list of the country's most accomplished, accessible and advice-oriented RIA firms.

*"Our purpose is to help investors harness the power of independent research, empowering them to pursue their individual goals through data-driven investing. We're thrilled that this approach has led us to be recognized as one of the top firms in the country."*

### **Mitch Zacks, MBA**

*CEO, Senior Portfolio Manager*

This ranking is the latest in a series of accolades that Zacks Investment Management has earned over the course of its 30+ year history. Learn more about the firm's approach, services and insights at [zacksim.com](https://zacksim.com).

### About Zacks Investment Management

Zacks Investment Management is an independent, boutique firm established in 1992. As a wholly owned subsidiary of one of the largest and most influential independent research firms in the U.S., Zacks Investment Research, we focus on data-driven investing customized to the needs of our clients. By channeling the insights of our parent firm, we seek to help investors methodically reduce risk and improve returns over time.

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CNBC RANKING: This information is distributed for informational purposes only; to notify readers of a CNBC ranking. CNBC has no affiliation with Zacks, but rather evaluates investment manager performance on an objective basis. Criteria: CNBC enlisted data provider AccuPoint Solutions to assist with the ranking of registered investment advisors for its 2024 FA 100 list. The methodology consisted of first analyzing a variety of core data points from AccuPoint Solutions' proprietary database of registered investment advisors. This analysis used an initial list of 40,896 RIA firms from the Securities and Exchange Commission regulatory database. Through a process, the list was eventually cut to 903 RIAs meeting CNBC's proprietary criteria. CNBC staff sent an email survey/application to all those firms that met the initial criteria to gather more details. In turn, those advisory firms filled out the survey/application regarding their practice. The CNBC team verified that data with those firms and with the SEC regulatory database. AccuPoint once again applied CNBC's proprietary weighted categories to further refine and rank the firms, ultimately creating the list of the top 100 firms. Additionally, an advisor's appearance on the ranking does not constitute an individual endorsement by CNBC of any firm. The primary data points used in the analysis were reviewed, either as a minimum baseline or within a range, eliminating those firms that did not meet CNBC's requirements. Once the initial list was compiled, weightings were also applied accordingly. These data points included: Advisory firm's regulatory/compliance record, number of years in the business, number of certified financial planners, number of employees, number of investment advisors registered with the firm, the ratio of investment advisors to total number of employees, total assets under management, percentage of discretionary assets under management, total accounts under management, number of states where the RIA is registered and country of domicile. This ranking was awarded by CNBC on 10/2/2024 in respect of the calendar year period of 1/1/2024 to 12/31/2024. We do not compensate CNBC to obtain this rating. However, we pay compensation to CNBC to use their logo in connection with advertising this ranking. Zacks Investment Management did not provide any performance metrics to CNBC in regard to this ranking.