

Zacks+ Plus

ZACKS+ SMA PORTFOLIOS

Designed with the Client in Mind

What is Zacks+?

Zacks+ is a holistic portfolio solution that pairs Zacks proprietary ETFs with a suite of no-fee, single-stock sleeves in a single separately managed account (SMA). Through this core and satellite structure, Zacks+ is a versatile investment solution that can suit the risk-return needs of a range of clients, for no added cost outside of underlying expense ratios.

Advantages include:

Single Stock Ownership

One Distinct Account

Active Management

**No Management Fee
(Outside of Underlying Expense Ratio)**

What makes up Zacks+ SMA Portfolios?

A Core Fund Allocation

A core portfolio focused on earnings



SINGLE STOCK SLEEVES

Theme-based SMA portfolios

Zacks+ SMA Portfolios

The Zacks portfolio team has constructed six distinct SMA portfolios around three main investment themes. These portfolios can tilt 60/40 or 40/60, depending on the client's investment goals.

Each portfolio:

- Is designed to function as a client's core allocation
- Is made up of a core portfolio and one no-fee, single-stock sleeve
- Maintains an average of 45–50 holdings
- Can be funded with a minimum of \$100k

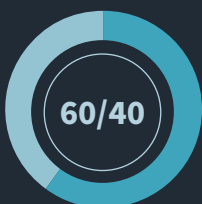
Zacks+ Core SMA

A diversified portfolio of large, mid- and small-cap companies that can help root a client's portfolio in a well-rounded all-cap allocation. This portfolio contains approximately 46 holdings (ETF and single stocks).



Zacks+ Equity Income SMA

A portfolio with a core allocation that provides exposure to companies with a consistent dividend history. This portfolio contains approximately 46 holdings (ETF and single stocks).



Zacks+ Innovation SMA

A portfolio focused on a core allocation that offers exposure to a select number of companies investing in disruptive technology breakthroughs. This portfolio contains approximately 21 holdings (ETF and single stocks).



Zacks Investment Management

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